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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **HIN0001**  
First Inventor **DANIEL TRITCH ET AL.**  
Title **METHOD OF STORING AND RETRIEVING ADVANCE**  
Express Mail Label No. **EL731284598US**

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages **14**)  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claims  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets **4**)
5. Oath or Declaration (Total Pages **2**)  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **00832** or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name **Baker & Daniels**  
Address **111 East Wayne Street, Suite 800**  
City **Fort Wayne** State **IN** Zip Code **46802**  
Country **USA** Telephone **219-424-8000** Fax **219-460-1700**

Name (Print/Type) **Michael D. Schwartz** Registration No. (Attorney/Agent) **44,326**  
Signature *Michael D. Schwartz* Date **August 23, 2001**

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$395.00

## Complete if Known

Application Number \_\_\_\_\_  
 Filing Date \_\_\_\_\_  
 First Named Inventor **Daniel Tritch et al.**  
 Examiner Name \_\_\_\_\_  
 Group Art Unit \_\_\_\_\_  
 Attorney Docket No. **HIN0001**

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-0385**  
 Deposit Account Name **BAKER & DANIELS**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  
☒ Applicant claims small entity status See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description        | Fee Paid |
|--------------|--------------|---------------|---------------|------------------------|----------|
| 101          | 710          | 201           | 355           | Utility filing fee     | 355.00   |
| 106          | 320          | 206           | 160           | Design filing fee      |          |
| 107          | 490          | 207           | 245           | Plant filing fee       |          |
| 108          | 710          | 208           | 355           | Reissue filing fee     |          |
| 114          | 150          | 214           | 75            | Provisional filing fee |          |
| SUBTOTAL (1) |              |               |               |                        | \$355.00 |

#### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 17                 | -20** =      | 0 X            | 0.00     |
| 3                  | -3** =       | 0 X            | 0.00     |
| Multiple Dependent |              |                |          |

#### Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |  |        |
|---------------|---------------|-----------------|----------|--|--------|
| 103           | 18            | 203             | 9        | Claims in excess of 20                                     |        |
| 102           | 80            | 202             | 40       | Independent claims in excess of 3                          |        |
| 104           | 270           | 204             | 135      | Multiple dependent claim, if not paid                      |        |
| 109           | 80            | 209             | 40       | ** Reissue independent claims over original patent         |        |
| 110           | 18            | 210             | 9        | ** Reissue claims in excess of 20 and over original patent |        |
| SUBTOTAL (2)  |               |                 |          |  | \$0.00 |

\*\*or number previously paid, if greater. For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity              | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------------------|--------------|---------------|---------------|--|----------|
| 105                       | 130          | 205           | 65            | Surcharge - late filing fee or oath  |          |
| 127                       | 50           | 227           | 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                       | 130          | 139           | 130           | Non - English specification  |          |
| 147                       | 2,520        | 147           | 2,520         | For filing a request for ex parte reexamination                            |          |
| 112                       | 920*         | 112           | 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 113                       | 1,840*       | 113           | 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 115                       | 110          | 215           | 55            | Extension for reply within first month                                     |          |
| 116                       | 390          | 216           | 195           | Extension for reply within second month                                    |          |
| 117                       | 890          | 217           | 445           | Extension for reply within third month                                     |          |
| 118                       | 1,390        | 218           | 695           | Extension for reply within fourth month                                    |          |
| 128                       | 1,890        | 228           | 945           | Extension for reply within fifth month                                     |          |
| 119                       | 310          | 219           | 155           | Notice of Appeal   |          |
| 120                       | 310          | 220           | 155           | Filing a brief in support of an appeal                                     |          |
| 121                       | 270          | 221           | 135           | Request for oral hearing   |          |
| 138                       | 1,510        | 138           | 1,510         | Petition to institute a public use proceeding                              |          |
| 140                       | 110          | 240           | 55            | Petition to revive - unavoidable   |          |
| 141                       | 1,240        | 241           | 620           | Petition to revive - unintentional   |          |
| 142                       | 1,240        | 242           | 620           | Utility issue fee (or reissue)   |          |
| 143                       | 440          | 243           | 220           | Design issue fee   |          |
| 144                       | 600          | 244           | 300           | Plant issue fee  |          |
| 122                       | 130          | 122           | 130           | Petitions to the Commissioner  |          |
| 123                       | 50           | 123           | 50            | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 126                       | 180          | 126           | 180           | Submission of Information Disclosure Statement                             |          |
| 581                       | 40           | 581           | 40            | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                       | 710          | 246           | 355           | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                       | 710          | 249           | 355           | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                       | 710          | 279           | 355           | Request for Continued Examination (RCE)                                    |          |
| 169                       | 900          | 169           | 900           | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____ |              |               |               |  |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

### SUBMITTED BY

Name (Print/Type) **Michael D. Schwartz**  
 Signature *Michael D. Schwartz*

Registration No. (Attorney/Agent)

44,326

### Complete (if applicable)

Telephone **219-424-8000**  
 Date **August 24, 2001**

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Daniel Tritch et al.**

Docket No.

**HIN0001**

Serial No.

Filing Date

Examiner

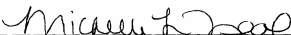
Group Art Unit

Invention: **METHOD OF STORING AND RETRIEVING ADVANCE MEDICAL DIRECTIVES**

I hereby certify that the following correspondence:

**UTILITY PATENT APPLICATION***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

**AUGUST 24, 2001***(Date)***MICHELLE L. NEAL***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL731284598US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**